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## 5 **The Evaluation of the effectiveness of the arthroscopic rotator cuff repair** 6 **at the Thong Nhat Hospital**

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13 **Abstract.** The objective of the paper is evaluation of the results of arthroscopic rotator cuff repair. A  
14 number of 30 cases rotator cuff tears were treated by arthroscopic rotator cuff repair at the Thong  
15 Nhat hospital from 1/3/2012 to 30/6/2016. All patients were over 18 years old, had positive rotator  
16 cuff tear tests, underwent X-ray and MRI, the arthroscopic images had rotator cuff tears and  
17 indication for repair. The functional status of each patient was evaluated using the Constant-Murley  
18 Scale and the criteria of the University of California at Los Angeles (UCLA). During postoperative  
19 rehabilitation we apply the Cohen's protocol. A number of 17 patients were female and 13 were  
20 male. The median age was 54 years (21–83). A number of 16 patients had partial thickness tears, 14  
21 patients had full-thickness tears. Repair technique: single-row in 13 patients, suture-bridge in 15  
22 patients, transosseous-equivalent in 2 patients. 4 patients with SLAP lesions were treated by  
23 debridement labrum for type I, labral repair for higher type lesions. 5 patients with long head biceps  
24 tendon rupture were treated with tenodesis. After surgery, 100% of patients were subjected to fluid  
25 extravasation through the shoulder joint, but did not have any compartment syndrome, all incisions  
26 healed good without infection or neurapraxia. The mean UCLA scores was 32.4 (14 patients had  
27 excellent results, and 14 good; 2 fair). The mean Constant scores was 38.5; the mean postoperative  
28 Constant score was 88.77 ( $p = 0.001$ ). 90% patients achieve 80% of function compared to normal  
29 shoulder. All patients gave their consent to the study and were ready for the full course of treatment  
30 and arthroscopic surgery. Repair of the rotator cuff was for some participants the only effective treatment  
31 and a way to restore the shoulder. There was an improvement in the shoulder function with statistical  
32 significance pre- and post-operative rotator cuff repair.  
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34 **Key words:** rotator cuff tear, shoulder arthroscopy

## INTRODUCTION

Rotator cuff tear is a common cause of pain and disability among adults. 10% to 40% of people over 40 years old had rotator cuff problems (Coudane and Goutallier, 1997). A torn rotator cuff will weaken and reduce the shoulder functions. Therefore, many daily activities may become painful and difficult to do.

Operative treatment with rotator cuff suture was described by Codman (1911). That method brought about 60-70% effectiveness in rehabilitation but it had deltoid muscle hypertrophy complication. In recent years, arthroscopic rotator cuff repair had more advantages in the evaluation of rotator cuff injuries and repair. That arthroscopic technique was demonstrated improving by more than 90% in clinical and functional outcomes.

In Vietnam, early diagnosis and rotator cuff repair had been focused since 2000. From 2012, arthroscopic rotator cuff repair was conducted at Thong Nhat Hospital. Therefore, this study aimed to evaluate the effectiveness of arthroscopic rotator cuff repair.

From the point of view of philosophy, due to which the rotator cuff surgery came to the arthroscopic method, the breakthrough was in the desires to increase the ability to visualize to diagnose injuries, minimize tissue damage during medical procedures, correctly recognize pathologies, and optimize reparative work (Elhassan et al., 2016; Buerba et al., 2016). Thanks to arthroscopy, surgeons were able to diagnose problems and perform treatment without prolonged observation and tissue damage, which no longer entailed a long recovery (Deranlot et al., 2017). However, special exercises still have to be done (Saul et al., 2016).

These desires grew into principles of work and helped to develop surgery. Over the past 25 years, the quality of medicine has been significantly improved, arthroscopic treatment was invented, and this has greatly benefited patients and surgeons. Now the apparatus is still being improved to avoid any inflammation and discomfort during and after operations (Paribelli et al., 2015).

## MATERIAL AND METHODS

### Participants

Participants of the study were selected according to the following inclusion and exclusion criteria.

Inclusion criteria. Patients over 18 years old with:

- Clinical examination with positive tests of rotator cuff tear.
- X-ray: sclerosis or osteolysis of humeral greater tuberosity, decreased acromiohumeral interval, humeral subluxation superiorly, and/or MRI with partial or complete rotator cuff tear.

- Arthroscopic diagnosis: patients had rotator cuff tear and indication for repair.

- No improvement after 12 weeks of conservative treatments.

Exclusion criteria. Patients did not meet these conditions.

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82 **Methods**

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84 From March 1st, 2012 to June 30th, 2016, 30 patients were enrolled.  
85 Participants were followed by using medical record during this study. The affected  
86 shoulder function was evaluated using the Constant score (Constant Shoulder  
87 Outcome, 2020).

88 During surgery: endotracheal anesthesia, the patient was placed in the lateral  
89 decubitus position using a bean bag type support placed on the torso and pelvis on  
90 a standard operating table, the arm was pulled through the frame using 3-5  
91 kilograms objects.

92 Portal placement: posterior, anterior, lateral, posterolateral, and auxiliary  
93 portal placements. Evaluating of the rotator cuff tear and concomitant injuries.  
94 Glenoid labrum tear was sutured in type II and underwent debridement of the type  
95 I. Biceps tendon tenodesis was used if it was nearly complete tear.

96 Rotator cuff suture used single-row or double-row repair techniques. A  
97 postoperative rehabilitation protocol was conducted using the Cohen program  
98 (Cohen et al., 2002). The affected shoulder of the patients was evaluated using the  
99 Constant score and the UCLA score (UCLA Shoulder Score, 2020). MRI was used  
100 for tendon healing assessment. Data were analyzed using Statistical Package for  
101 Social Sciences (SPSS) Program, version 20.0.

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103 **Baseline characteristics**

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105 Among 30 patients, there were 17 female (56.67%) compared with 13 male  
106 (43.33%). Median age was 54 (21-83). Median age of female group was 54 (21-76)  
107 and male group was 52.6 (34-83). Among 30 patients with rotator cuff tear, there  
108 were 23 participants aged from 45 to 65 (76.7%).

109

110 Table 1. Distribution of patients by age group

| Age group | Number of patients | Proportion |
|-----------|--------------------|------------|
| < 35      | 1                  | 3.33%      |
| 35≤<45    | 3                  | 10%        |
| 45≤<55    | 14                 | 46.67%     |
| 55≤<65    | 9                  | 30%        |
| ≥ 65      | 3                  | 10%        |

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112 The median follow-up time was 29 months (11-51).

113 To study the effectiveness of the arthroscopy in case of rupture or damage of  
114 the cuff, patients with injuries that could not be repaired except by surgical  
115 intervention were selected. The treatment of the shoulder cuff consists in re-  
116 placing the torn tendon in its anatomical place in order to restore all its functions.  
117 This is done using arthroscopy, that is, without opening the shoulder joint. Access  
118 is through small openings smaller than 5 mm. Thus, arthroscopy does not violate

119 the anatomical structures (Kluger et al., 2011; Valenti et al., 2017).

## 121 **RESULTS**

### 123 **Rotator cuff tear**

124  
125 There were 16 patients with rotator cuff partial thickness tear (53.33%) and  
126 14 patients with full-thickness tear (46.67%). In the group with rotator cuff full-  
127 thickness tear, there were 1 case with mild tear, 9 moderate tear, 3 large tear, and 1  
128 massive tear.

### 130 **Rotator cuff repair**

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132 Insensitive method. All participants were undergoing endotracheal  
133 anesthesia, and used bupivacaine in postoperative pain relief.

134 Tendon suture methods. Among 30 patients, there were 13 patients using  
135 single-row repair, 15 patients with suture-bridge technique, and 2 patients using  
136 transtendon-repair technique.

137 Concomitant injuries. 4 patients with type I SLAP tear were conducted  
138 debridement, and suture for other types. 5 patients with biceps tendon tear were  
139 treated by long head of biceps tendon tenodesis.

### 141 **Treatment results**

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143 Postoperative complications. A number of 100% patients had fluid  
144 extravasation but no compartment syndrome complications and nerve injuries. No  
145 patient had infected surgical incision.

### 147 **Treatment outcome**

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149 Pre-and postoperative Constant score. Median preoperative Constant score  
150 was 38.5 compared with 87.77 of postoperative. There was a significant difference  
151 between pre- and postoperative Constant score ( $p = 0.001$ ).

152 Postoperative UCLA score. Median postoperative UCLA score was 32.4.  
153 The results were 14 excellent (46.67%), 14 good (46.67%), 2 fair (6.67%), no  
154 patient with poor result. In group of patients aged over 65, there were 1 excellent  
155 and 1 good result.

156 In this study, patients were evaluated and compared postoperative shoulders  
157 function – affected shoulder and normal shoulder by using the Constant score. 20  
158 patients were enrolled. After surgery, 75% patients with affected shoulder achieve  
159 90% of function compared with normal shoulder. In addition to, 90% patients  
160 recovered 80% of function compared to normal shoulder.

161 Postoperative shoulder function of rotator cuff full-thickness tear group and  
162 partial thickness tear group. A number of 11 patients with rotator cuff partial  
163 thickness tear had postoperative median Constant score 87.95. 13 patients with  
164 full-thickness tear had score 87.57. There was no significant difference between  
165 two groups ( $p = 0.25$ ).

166 Postoperative median Constant score of female and male groups.  
167 Postoperative median Constant score of female group was 87.85 compared with  
168 male group 87.65 and there was no significant difference between the two groups  
169 ( $p=0.9$ ).

170 Postoperative UCLA score of rotator cuff full-thickness tear group and  
171 partial thickness tear group. There was no significant difference between rotator  
172 cuff full-thickness tear group and partial thickness tear group ( $p = 0.7$ ).

173 Postoperative UCLA score of female and male groups. There was no  
174 significant difference in the UCLA score between two groups ( $p = 0.82$ ).

175 Correlation between age and postoperative Constant score. Regression  
176 equation (postoperative Constant score) =  $-0.19$  (age) + 98.05. Therefore, there was  
177 a negative correlation between postoperative Constant score and age.

178 Correlation between age and postoperative UCLA score. There was no  
179 significant difference in linear correlation between postoperative UCLA score and  
180 age ( $p = 0.28$ ).

181 Correlation between operative time and postoperative Constant score. There  
182 was no significant difference in linear correlation between operative time and  
183 postoperative Constant score ( $p = 0.074$ ). Therefore, postoperative results were not  
184 depended on operative time.

185 Correlation between operative time and postoperative UCLA score. There  
186 was no significant difference in linear correlation between operative time and  
187 postoperative UCLA score ( $p = 0.337$ ).

188 Concomitant injuries. In this study, there were 9 patients with concomitant  
189 injuries (30%). 5 patients had partial or complete long head of biceps tendon tear  
190 and 4 patients had glenoid labrum tear. 21 patients had no concomitant injuries  
191 (70%).

192 Preoperative Constant score of rotator cuff tear combined SLAP injuries  
193 group and only rotator cuff tear group. There was no significant difference in  
194 preoperative Constant score between two groups ( $p = 0.49$ ).

195 Postoperative UCLA score of rotator cuff tear combined SLAP injuries  
196 group and only rotator cuff tear group. There was no significant difference in  
197 postoperative UCLA score between two groups ( $p = 0.3$ ).

198 Postoperative Constant score of rotator cuff tear combined SLAP injuries  
199 group and only rotator cuff tear group. There was no significant difference in  
200 postoperative Constant score between two groups ( $p = 0.23$ ).

201 Preoperative Constant score of rotator cuff tear combined long head of  
202 biceps tendon tear group and only rotator cuff tear group. There was no significant  
203 difference in preoperative Constant score between two groups ( $p=0.81$ ).

## 204 **Postoperative shoulder function**

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207 Table 2. Distribution of patients by follow-up time

| Follow-up time     | Under 12 months | 12 – 24 months | Over 24 months |
|--------------------|-----------------|----------------|----------------|
| Number of patients | 2               | 8              | 20             |

208 Table 3. Postoperative Median Constant score

| Groups          | Median score | Standard deviation | Confidence intervals (95%) |
|-----------------|--------------|--------------------|----------------------------|
| Under 12 months | 91.43        | 1.43               | 87.93 – 94.93              |
| 12 – 24 months  | 86.9         | 1.88               | 83.08 – 90.71              |
| Over 24 months  | 87.86        | 0.87               | 86.13 – 89.59              |

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The study of Kluger et al. (2011) showed that almost repeated rotator cuff tear happened in the first 3 months, 6 months (14%) and caused by trauma. According to Galatz, et al. (2004) study, patients with large or massive rotator cuff tear had good results in the first year despite high repeated tear rate later. However, after 2 years' follow-up, there was a drop in shoulder function. In this study, there was no significant difference in Constant, UCLA score between groups divided by follow-up time. Thus, there was no shoulder functional reduction in over 24 months' follow-up group compared with other groups.

### **Complications after arthroscopic rotator cuff repair**

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*Nerve and blood vessel injuries:* Weber, et al. study (2002) showed that nerve injury complications happened in low rate. In this study, there was no nerve and blood vessel injury complications. Thus, arthroscopic rotator cuff repair was a safe surgical technique.

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*Shoulder stiffness after rotator cuff surgery:* According to Burkhart, et al. (2006), the percentage of shoulder stiffness after surgery was 4.9%. In this study, no patient had shoulder stiffness complication. However, 53.33% of patients suffered from limited shoulder motion, especially internal rotation. Thus, they were recommended for a rehabilitation protocol aftersurgery.

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*Fluid extravasation after surgery:* Lee H.C, et al. (1992) reported that several patients had subcutaneous emphysema, pneumomediastinum, and potentially life-threatening tension pneumothorax after arthroscopic rotator cuff repair. In this study, 100% patients had fluid extravasation but no compartment syndrome complications.

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*Infection after surgery:* Mirzayan et al. (2000) study showed that 13 patients with infected surgical incision after operative rotator cuff suture caused by *Staphylococcus epidermidis*, *Staphylococcus aureus*, and *Propionibacterium species*. Almost all patients had no fever, elevation of white blood cells or VS index but suffered from pain and limited shoulder motion. All participants had concomitant diseases such as lymphoma disease, breast cancer, diabetes, hypothyroidism, or Iga deficiency. In this study, no patient had infection after surgery.

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*Suture anchor pullout after surgery:* According to Benson et al. (2010), 6 patients with 9 suture anchor were pull out after surgery. Patients with rotator cufftear over 3 cm had rate of suture anchor pullout up to 11%. In this study, no patient had suture anchor pullout after surgery.

247

Trends show that after proper treatment, the repetition of the cuff rupture is

248 still not minimized, but the rotational cuff itself becomes more elastic, resistant to  
249 sprains and other injuries (Murphy et al., 2016). The first year of breaks was less  
250 than in subsequent ones. However, the shoulder should be protected from stress for  
251 the first six months after surgery, otherwise any injury could result in a  
252 rupture(Denard, 2015).

## 253 254 **DISCUSSION**

255  
256 The rotational cuff is a group of muscles and tendons that form the cuff  
257 above the shoulder joint. These muscles and tendons hold the hand in the joint and  
258 help the shoulder joint to move. Tendons may be torn from excessive stress or  
259 injury, but the problem may not be related to sports and may occur in people  
260 without an excessive history of work (Hartzler and Burkhart, 2017).

261 Injuries to the rotator cuff of the shoulder include tendonitis, that is,  
262 inflammation and dystrophy of the tendon. Injuries also include complete or partial  
263 rupture. Subacromial bursitis may be a consequence of tendonitis. Symptoms are  
264 manifested by pain in the shoulder joint, and with severe tears are complemented  
265 by weakness. The diagnosis is made immediately by the results of the examination,  
266 only sometimes diagnostic studies. Tendinitis usually develops as a result of  
267 chronic impingement syndrome of the supraspinatus tendon between the humeral  
268 head and the coracoid-acromial arch (acromion, acromioclavicular joint, coracoid  
269 process, coracoacromial ligament). Exercises that require repeated lifting of the  
270 arms above the head and lifting weights above shoulder level increase the risk of  
271 injury to the rotator cuff (Denard and Burkhart, 2016). After this, many other  
272 studies were conducted studying the options for restoring the shoulder cuff. Now  
273 many methods have been developed by which it is possible to accelerate the  
274 restoration of the shoulder after surgery. At the moment, surgery to break the cuff  
275 is mandatory. This study shows that arthroscopic restoration of the rotator cuff is  
276 one of the safest methods and does not entail a repeated rupture.

277 A recent study was conducted by the Orthopedic Rizzoli Institute (Veronesi  
278 et al., 2020), where two groups of patients with irreversible rupture of the rotator  
279 cuff who received surgical treatment were evaluated: one group received the  
280 arthroscopic transfer of the tibial tendon (LDTT), and the other received a partial  
281 restoration of the arthroscopic rotator cuff. According to the results of the study, it  
282 was decided that both methods are effective. Tibial tendon transfer showed  
283 significant improvements compared with partial restoration by an arthroscope  
284 (Benson et al., 2010). However, arthroscopic restoration proceeds painlessly and  
285 with less discomfort, moreover, the restoration phase is more likely to go through.  
286 According to studies (Murphy et al., 2016; Sheean et al., 2017), the arthroscopic  
287 repair is known to be much safer and less likely to cause complications. Many  
288 restorations, such as the transfer of a tendon from another part of the body to the  
289 rotator cuff (Grimberg et al., 2015), cause inflammation and complications from  
290 the position of the nervous system. Recovery is harder and longer. When  
291 manipulated by an arthroscope, the opposite is true. Patients operated on with an  
292 isolated upper or posterior-upper rotator cuff immobilized with a brace at 15 ° ER  
293 felt less pain and a better passive range of motion shortly after surgery. In  
294 comparison, it is known that arthroscopy of the shoulder joint presents an increased

295 risk of complications compared to arthroscopy of the knee in relation to vascular  
296 and neurological injuries, excessive fluid secretion, rigidity, iatrogenic tendon  
297 damage, and equipment failure. But with the right approach, these risks can be  
298 minimized (Sheean et al., 2017).

299 The study of the rehabilitation period (Bond et al., 2018) after cuff surgery  
300 showed that rehabilitation can be significantly accelerated thanks to a special  
301 exercise technique. Patients who receive an early exercise program combined with  
302 treatment can restore shoulder function faster and reduce pain and range of motion  
303 than those who receive a standard exercise program. To this end, studies are still  
304 ongoing, if this is confirmed, the study can be used clinically to improve the  
305 recovery of patients with arthroscopic recovery.

306 Also, in 2017, a local study (Jensen et al., 2017) was conducted by American  
307 scientists to assess trends in the open and arthroscopic restoration of the rotator  
308 cuff in the United States. It was decided to divide people into groups by gender,  
309 age, and region of residence. Prior to the study, there was a growing trend in the  
310 popularity of cuff repair in an arthroscopic manner, and the researchers confirmed  
311 this observation. As a result, it turned out that the request for repair of the rotator  
312 cuff increased by 188% of the total volume in the last couple of years before the  
313 study. Most of the applicants preferred treatment with an arthroscope. The process  
314 also proved that gender is not related to the restoration of the shoulder cuff. Both  
315 men and women have the same probability of complication or a good recovery  
316 period, this does not depend on gender (Jensen et al., 2017).

317 Studies on the restoration of the rotator cuff are still ongoing. The topic is  
318 becoming more relevant every year in the USA and Europe. For the rest of the  
319 countries, there is no reliable statistical information. It is proved that elderly people  
320 most often suffer from this ailment. Most operations in the US occur in people  
321 over the age of 60 (Jensen et al., 2017).

322 At the moment, arthroscopic shoulder restoration is the safest and most  
323 effective solution. After surgery, you can speed up recovery with special exercises,  
324 this will help increase the strength of the shoulder (Denard et al., 2015).

## 325 326 **CONCLUSION**

327  
328 There was a shoulder functional improvement in Constant score between  
329 pre- and post-operative rotator cuff repair. According to UCLA score, the  
330 postoperative results were 14 excellent (46.67%), 14 good (46.67%), 2 fair  
331 (6.67%), no patient with poor result. Therefore, arthroscopic rotator cuff repair  
332 brought about better outcome for patients.

333 Treatment of the rotational cuff of the shoulder with the arthroscopic method  
334 contributes to the complete cure of patients and in the improvement of shoulder  
335 functions in the future. In younger and healthier patients, the arthroscopic repair is  
336 known to occur faster. This treatment option improves performance and strength.

337 At the moment, there are many more studies on the restoration of the  
338 rotational cuff of the shoulder and arthroscopy in general. Surgeons around  
339 the world are interested in preventing the cuff from rupturing and in healing it  
340 quickly if it is still damaged. Arthroscopy is now the leader in all respects in the  
341 treatment of the rotator cuff, it is painless and effective, in addition, the shoulder

342 joint does not open during the operation and the muscles are not damaged.

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## 347 348 **CONFLICTS OF INTEREST**

349  
350 The authors declare no conflict of interest.

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